

*This brochure supplement provides information about Kamalesh J. Desai that supplements the Globalaji Capital Group LLC Brochure. You should have received a copy of that Brochure. Please contact Kamalesh J. Desai, Managing Member if you did not receive Globalaji Capital Group LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Kamalesh J. Desai and Globalaji Capital Group LLC is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Globalaji Capital Group, LLC**  
Form ADV Part 2B –Brochure Supplement

*for*

**Kamalesh J. Desai**

**March 31, 2021**

**[www.globalaji.com](http://www.globalaji.com)**

Personal CRD Number: 1268701

Investment Adviser Representative

Globalaji Capital Group LLC  
953 North Plum Grove Road  
Schaumburg, Illinois, 60173  
(847) 278-7414  
[kdesai@globalaji.com](mailto:kdesai@globalaji.com)

## Item 2: Educational Background and Business Experience

**Name:** Kamalesh J. Desai                      **Born:**1953

### **Educational Background and Professional Designations:**

#### **Education:**

B.SC Mathematics, Statistics, Gujrat University - 1974

#### **Business Background:**

07/2013 - Present	Managing Member Globalaji Capital Group LLC
09/2005 - Present	Registered Representative 1717 Capital Management Company
09/2005 - Present	Agent Nationwide Provident
08/1977 - Present	Self Employed Broker Insurance Business
02/1999 - 11/2004	Registered Representative Metlife Securities, Inc.
02/1999 - 11/2004	Agent Metropolitan Life Insurance Company

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of Mr. Desai.

#### **Item 4: Other Business Activities**

Kamalesh J. Desai is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Globalaji Capital Group LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Globalaji Capital Group LLC in such individual's capacity as an insurance agent.

#### **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Kamalesh J. Desai does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Globalaji Capital Group LLC.

#### **Item 6: Supervision**

As the only owner and representative of Globalaji Capital Group LLC (the "Firm"), Kamalesh J. Desai supervises all duties and activities of the Firm. Kamalesh J. Desai's contact information is on the cover page of this disclosure document. Kamalesh J. Desai adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the Firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Illinois securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Kamallesh J. Desai has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. Kamallesh J. Desai was the subject of a claim in 2009 wherein the claimants alleged a failure to furnish prospectus and a misrepresentation of material facts. Mr. Desai consented to these charges on 10/21/1992 and as a result cannot sell unregistered securities.
- B. Kamallesh J. Desai was the subject of a bankruptcy petition in May 2011 which was discharged October 2011.